

Estate Planning Council of Lower Fairfield County, Inc.
MEETING NOTICE

Topic: Executive Compensation and Estate Planning

Speakers: Susan Dixon, Partner, Finn Dixon & Herling LLP and Daniel G. Johnson , Principal, Cummings & Lockwood LLC

Date & Time: Wednesday, May 9, 2012 – 5:45 p.m. – 8:45 p.m.

Place: Innis Arden Golf Club, 120 Tomac Avenue, Old Greenwich, CT

Dear Council Member:

We are pleased to announce that **Susan Dixon, Partner, Finn Dixon & Herling LLP, and Daniel G. Johnson, Principal, Cummings & Lockwood LLC**, will be our guest speakers on the subject of *Executive Compensation and Estate Planning* at our May 9th Annual Meeting & Dinner Program. Cash bar and cocktails will begin at 5:45 p.m., followed by dinner promptly at 6:30 p.m., speakers at 7:30 p.m., and we anticipate an end to the meeting between 8:30 p.m. and 8:45 p.m.

Attorneys Dixon and Johnson will identify the typical components of a compensation package for an executive (or other highly compensated individual), identify the items commonly evaluated when negotiating a compensation package, and the estate planning opportunities that should be evaluated at that time. The types of compensation and benefits to be covered include 401(k) plans, SERPS and other supplemental retirement plans, AD&D insurance, stock options, restricted stock, profits interests and other equity-based compensation.

Susan Dixon, Esq. chairs her firm's Executive Compensation, Benefits & Employment group. Her practice includes designing, drafting and implementing compensation plans and programs, and advising clients on related tax planning and securities compliance issues. She counsels companies and individuals in the negotiation of employment, separation and non-competition agreements. In addition, a significant part of her practice involves advising companies on the compensation, ERISA and employee benefits issues that arise in mergers and acquisitions and in connection with ongoing operations. Throughout her career, Ms. Dixon has represented public and private companies of all sizes in a variety of industries, including private equity funds and their portfolio companies and hedge funds. Ms. Dixon also represents executives working for public and private companies. Prior to joining Finn Dixon & Herling, Ms. Dixon was an associate at Wachtell Lipton Rosen & Katz and at Debevoise & Plimpton LLP, both in New York City. She is President and Member of the Board of Directors of the Connecticut Chapter of the National Association of Stock Plan Professionals (NASPP), a member of the American Bar Association, Section of Taxation, and the Connecticut Bar Association.

Daniel G. Johnson is a Principal in the Private Clients Group of Cummings & Lockwood LLC, which he joined in 1997. He practices in the firm's Stamford office, primarily in the areas of estate planning, family business succession planning, estate administration and trust administration. Dan is the partner-in-charge of the Stamford Office and frequently lectures on estate and tax planning topics to both lawyer and non-lawyer audiences. He is admitted to practice in Connecticut, New York and Massachusetts and is a member of the Bar Associations for all three states and the Estates and Probate Section of the Connecticut Bar Association. He is an author of *Settlement of Estates in Connecticut 3d*. In 2010, Dan was a winner of the "40 Under 40" award from the Fairfield County Business Journal as one of 40 business executives who have demonstrated extraordinary leadership qualities, an ongoing commitment to personal and professional development and outstanding professional accomplishments that have made an impact on business in and the professional image of Fairfield County. Dan received his B.A. from Georgetown University, cum laude, and his J.D. from University of Virginia Law School. Dan serves on the advisory board of a family office located in Greenwich. He served on the Junior Committee for the Little Sisters of the Assumption in East Harlem from 2003-2005 and is currently the Treasurer of the Board of Materials for the Arts, which is the largest supplier of art supplies for public schools in New York City.

IMPORTANT MESSAGE REGARDING GUEST ATTENDEES: For this meeting only, we welcome you to invite guests to attend at the discounted rate of only \$25. Advance registration is required (*see registration form below*). Space is limited, so we suggest that you reserve a place for yourself and your guest(s) as soon as possible and no later than Wednesday, May 2. Please let us know in advance if you subsequently find that you or your guest is unable to attend.*

I have enjoyed serving as your Program Chair this year and hope that you have found the topics and speakers to be beneficial. If you should have any ideas for future programs, please contact our incoming Programs Chair Matt Bovino at mabovino@davidsondawson.com.

Finally, please be sure to visit our website at www.epclfc.org for more details as they become available on our 2012-2013 program season!

See you May 9th!

Carolyn Armbrust, AIBA, ASA
Second Vice President, Programs

**To cancel, please call Lori Somerville at 877-302-6300.*

HOW TO REGISTER:

If you are NOT bringing a guest: Please email your RSVP to EPC-LFC@comcast.net or call Lori Somerville at 877-302-6300.

If you ARE bringing a guest: Please complete the guest registration form below and mail it with a check for \$25 per guest payable to "EPC/LFC" to: EPC c/o Lori Somerville, 175 South End Road, #A2, East Haven, CT 06512-4555.

GUEST REGISTRATION FORM

Member name: _____ Phone: _____

IMPORTANT: For registration/badge purposes, please provide guest name, firm, and designations, if any.

Guest name: _____ **Nickname for Badge:** _____

Firm: _____

Designations: J.D. Esq. CFP CPA CLU ChFC Other _____

Guest name: _____ **Nickname for Badge:** _____

Firm: _____

Designations: J.D. Esq. CFP CPA CLU ChFC Other _____

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Firm: _____

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